

Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 66766-Y-PCT- US/JPW/GJG/ACK	Serial No. 09/903,101
		Applicants: Francis G. Fang and Shiping Xie	
		Filing Date July 11, 2001	Group 1626

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	HU	P9 4 0 3 6 3 8	11/28/95	Hungary (Exhibit 1);				
	JP	04 1 3 9 1 8 8	5/13/92	Japan (Exhibit 7);				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Hertzberg, R.P. (1989) "Modification of the Hydroxy Lactone Ring in Camptothecin" <u>J. Med. Chem.</u> 32(3): 715-720 (Exhibit 2);
	<u>Chemical Abstracts Registry Handbook - Number Section</u> (1992) "See Compound with rn=143490-55-5" pp. 4277ru (Exhibit 3);
	S. Sawada, et al., (1992) "Preparation of Camptothecin Derivatives as Anti-tumor Agents" <u>Chem. Abs.</u> 117(17):882 (Exhibit 4);
	Fang, F.W. et al. (1994) "Catalytic Enantioselective Synthesis of 20(S)-Camptothecin: A Practical Application of the Sharpless Asymmetric Dihydroxylation Reaction" <u>J. Org. Chem.</u> 59(21):6142-6143 (Exhibit 5);
	Krohn, K. et al., (1975) "Alkylierung Von Camptothecin-Vorstufen" <u>Chemische Berichte</u> 108:3030-3042 (Exhibit 6).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.